

# Diligence Preparedness Pitch- SimplyCorp





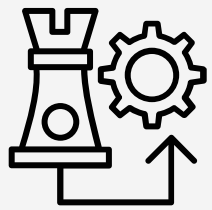
# Due Diligence

Due Diligence is the process of gathering & verifying important commercial, financial, legal, compliance & regulatory information by the potential buyer/Investor, about a company, a business or target asset before committing to the purchase/Invest.

We undertake Corporate Secretarial Due Diligence for companies and we help them gear up for potential investment rounds. We have been working with quiet a few Institutionally funded companies, Start-ups and Growing companies who need to demonstrate that they have been highly compliant all through the journey since inception and we engage with them to do a deep dive review of their compliance standing and help them be diligence ready.



# Best Suited For



## Strategic Partnerships

Companies looking for strategic partnerships



## Mergers & Acquisitions

Companies in the process of M&A



## Fund seeking

Companies approaching potential investors for Seed/Growth/PE/VC funds



## Emerging & Growth

Companies facing host of compliance demands



# Our Corporate Secretarial review covers:

**Companies Act, 2013**

**Foreign Exchange Management Act**



# Scope of Work

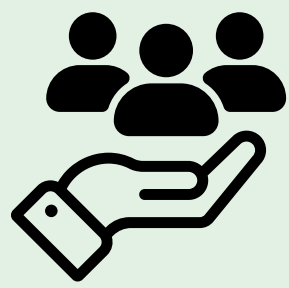
Shareholder management

Investor Management

Board Management

Parent Company Reporting

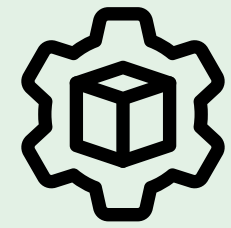




# Process Flow & Use case

- Review of all Corporate Secretarial documents for the desired period.
- Identification of non-compliance or gaps
- Providing a Report on the Due Diligence with observations
- Advising on the rectification of gaps and regularizing the compliances up to date.
- Advising on the good corporate practices to be followed
- Advising on the maintenance mechanism of proper e-repository of Secretarial Records & Contracts.
- Managing the compliance requirements on an ongoing basis





# Broad Framework

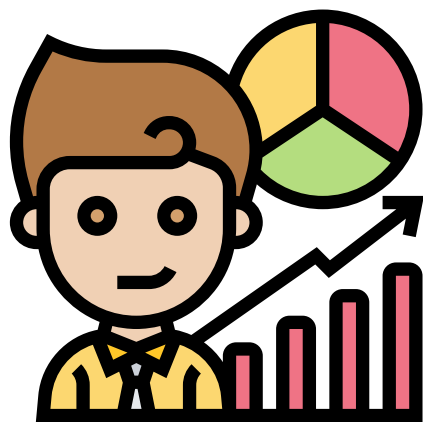
1. Initiating the engagement by sharing a checklist of information and documents required from the client.

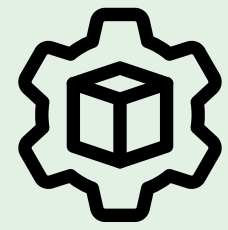
2. Undertaking an inspection in the MCA portal to review the filing status.

3. Reviewing the documents & information gathered in detail and making observations on the gaps & non-compliances.

4. Sharing a report on the observations, penal implications and the corrective actions to be undertaken.

5. Discussion with the client on the observation report.





# Broad Framework

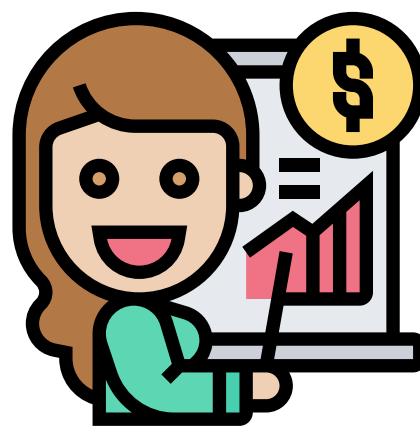
6. On confirmation from the client, taking actions for rectification of gaps in terms of book keepings, filings, approvals (except those relating to compounding)etc.,

7. On regularizing the compliances, sharing the status update on the same.

8. Advising on the maintenance mechanism of proper e-repository of Secretarial Records by creation of structured Data room

9. Sharing a Ready Reckoner of compliances to be aware of the Do's & Dont's.

10. Recommending the good corporate governance practices to be followed in future.



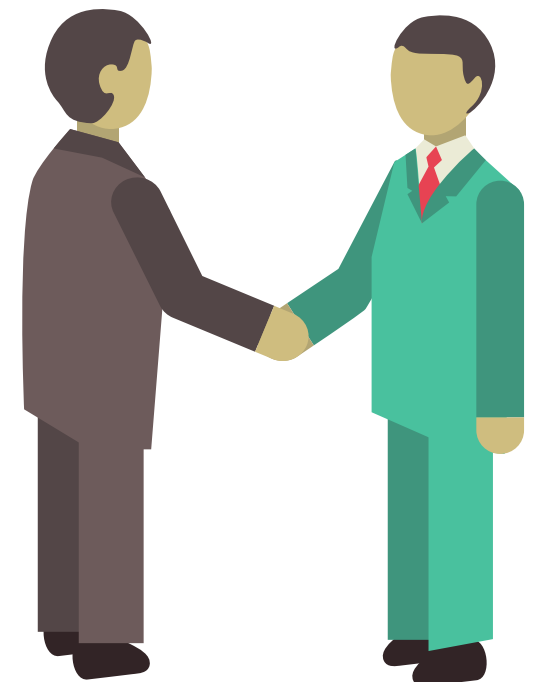




# Client Testimonial

CredRight has been working with Simplybiz for over 5 years now & would highly recommend the team. The team is not only expert in the Secretarial domain but also very pro-active & forthcoming to help run the company in the best possible manner. I would like to highlight the team's expertise when it comes to fund-raise. Very well versed with multiple forms of fund raise like private placement, rights issue and ability to liaise directly with investor set to fasten up the process. Even their ability to help fast track the entire FC-GPR/FC-TRS filing is un-paralleled. We have always felt that the team is our own in-house expert instead of an outsourced consultancy.

**Neeraj Bansal**  
MD, Credright





# About SimplyCorp

SimplyCorp is a product designed by SimplyBiz. Corporate Secretarial or Company Administration encompasses ownership, control and management, which are foundational pieces based on which companies are built, wealth is created and distributed among various stakeholders.

SimplyCorp offers a comprehensive solution with end-end management of Corporate Secretarial Compliances covering all stages of the entity life cycle. SimplyCorp manages compliance with a blend of technology, talent, thinking and efficiency. SimplyCorp team of professionals has the privilege of serving varied profile of clients from diverse industry sectors including clients having parentage from various global locations.

SimplyCorp is ideally suited for companies that have been Institutionally Funded, subsidiaries of Foreign companies, Emerging companies from manufacturing or service sector and companies approaching Investors for funding or looking for Strategic Partnerships or in the process of Mergers & Acquisitions





# Our USPs

**100+**  
Clients served

**30+**  
Industries  
served

**300+**  
Experience  
Collective  
years

**30+**  
Team Size Professionals





## Our Clients

We take pride to claim that our clients represent diverse businesses from brick and motor to new economies including overseas businesses having a presence in India, Companies that have raised institutional capital, and established emerging and growth companies.

We have been able to provide business set up services and continue to provide ongoing compliance support to various clients having parentage from various global locations from USA, Singapore, Germany, Australia, Norway, Malaysia, Philippines, UK, UAE, Japan and Russia.







# Our team



**Raghu Babu Gunturu**  
Founder & Director



**Vaishali Vohra**  
Director-Strategy & Client  
Success



**Vanaja Kaleru**  
Lead - Corporate  
Compliance



**Sai Sunanda**  
Engagement Manager &  
SME



**Priyanka Jain**  
Engagement Manager &  
SME



**Shruti Banerjee**  
Engagement Manager



**Vaibhav Suryawanshi**  
Executive



**Meghana V**  
Executive



**Nikita Pathak**  
Executive



**Yogesh Kukreja**  
Executive



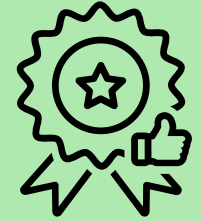
**Jhansi Rani Guddeti**  
Executive



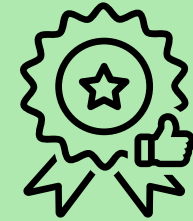
**Amrutha Ananda**  
Executive



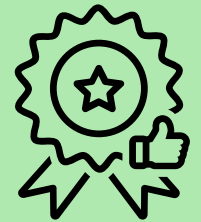
# Merits of us



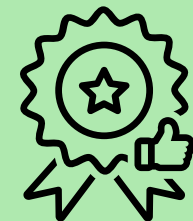
Diligent Ready approach



Committed and Agile Team



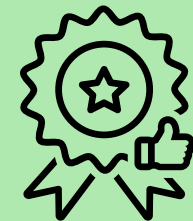
Periodical reportings



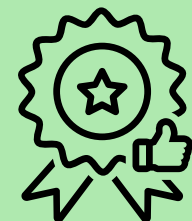
Client Dashboard for  
monitoring



Technology driven for  
better execution



Cloud based repository



Quality assurance with  
Committed TAT



# About SimplyBiz

SimplyBiz is a multidisciplinary professional services firm having competency in the areas of Finance, Legal, Accounting, Tax & Compliance. SimplyBiz has in its endeavor to offer quality and consistent services to its clients is treading the path of democratization of expertise and productization of services which it believes will bring significant value to its clients.

**SimplyBiz has been formed with the mission to simplify your business functions.** We are a diverse team of experienced and dedicated professionals comprising Company Secretaries, Lawyers, and Management Professionals operating across various locations in PAN India

**While we have a multi-location presence in Hyderabad, National Capital Region, Chennai, Bengaluru & Mumbai and serve clients across PAN India, Hyderabad is our excellence & delivery centre from where most of our team members operate.**





# Our Products



## SimplyTransact

Transaction advisory on fundraising

## SimplySet-Up

Setting up of business in India

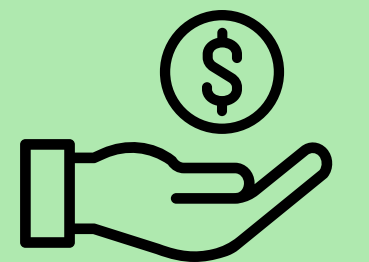


## SimplyFACT

One-stop shop for Companies

## SimplyBuyback

Advisory and end-end support on Buyback







# Thanks!

**Do you have any questions?**

Reach out to us at:  
Vaishali Vohra

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- +91 8121011573
- [Simplybiz.in](http://Simplybiz.in)